Aaxel Financial Services Ltd.
1550 South Gateway Road Suite 220
Mississauga, ON, L4W5G6
Ph:905-362-8080 fax:888-511-3535

Code of Conduct

As an Aaxel Financial Services Partner, I will:

- Continue to meet professional ethical standards, including acting honestly, with integrity and fairness. I will not intentionally mislead clients or Aaxel Financial in any way.

- Understand my clients' interests to the best of my ability by obtaining, confirming and documenting information about their needs. I will reasonably ensure that I recommend products or services that meet those needs.

- Put my clients' interests before my own or the interests of others. I will always act in good faith.

- Collect enough information about my clients to reasonably determine their identity. I will also collect enough information about my clients' intended transactions to feel certain that they are lawful, and if I have reasonable grounds to suspect the transaction is unlawful, I will report the situation to the appropriate authorities (regulators and/or law enforcement).

- Protect my clients' personal information, ensure it is only used for the purpose for which it was collected, and only share with people who need to see it to meet that purpose.

- Maintain an appropriate level of education to ensure I am up to date in an ever-changing financial marketplace.

- Tell my clients about any conflicts of interest that may be perceived as creating a bias on my part. This advice will be given prior to any financial transactions

- Provide my clients with written disclosure of:

  - the financial companies I do business with;
  - the nature of my relationship with any company I recommend; and,
  - how I am compensated including additional compensation or incentives I may receive.

- Provide, at any time, any additional information my clients request.

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I agree that my responsibilities are not limited to those above.

ADVISOR NAME	SIGNATURE	DATE
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